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## **PCT**

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71) Applicant (for all designated States except U KLINE BEECHAM BIOLOGICALS S.A. [ rue de l'Institut, B-1330 Rixensart (BE).	(S): SMIT BE/BE];	Published With international search report.
72) Inventors; and 75) Inventors/Applicants (for US only): FRANCOT [BE/BE]; PRIEELS, Jean-Paul [BE/BE] Moncef [MA/BE]; GARCON-JOHNSON Marie-Josephe, Claude [FR/BE]; 89, rue de 1330 Rixensart (BE).	; SLAOU V, Nathal	I,   e,
74) Agent: DALTON, Marcus, Jonathan, William Beecham, Corporate Patents, Great Burgh Bottom Road, Epsom, Surrey KT18 5XQ (C	, Yew Ti	
4) Title: HERPES SIMPLEX VACCINE COM PHOSPHORYL LIPID A	IPRISING	HSV GLYCOPROTEIN gD AND 3 dEACYLATED MON
7) Abstract		
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Herpes simplex vaccine comprising HSV glycoprotein GD and 3 Deacylated monophosphorge Lipid A.

The present invention relates to novel vaccine formulations, methods for preparing them and to their use in therapy. In particular, the present invention relates to novel formulations for treating Herpes Simplex Virus infections, more particularly Herpes Simplex virus 2 (HSV-2) infections.

HSV-2 is the primary etiological agent of herpes genitalis and together with HSV-1 (the causative agent of herpes labialis) are characterised by their ability to induce both acute diseases and to establish a latent infection, primarily in neuronal ganglia cells.

Genital herpes is estimated to occur in about 5 million people in the U.S.A. alone with 500,000 clinical cases recorded every year (primary and recurrent infection),. Primary infection typically occurs after puberty and is characterised by the localised appearance of painful skin lesions, which persist for a period of between 2 to 3 weeks. Within the following six months after primary infection 50% of patients will experience a recurrence of the disease. About 25% of patients may experience between 10-15 recurrent episodes of the disease each year. In immunocompromised patients the incidence of high frequence recurrence is statistically higher than in the normal patient population.

Both HSV-1 and HSV-2 virus have a number of glycoprotein components located on the surface of the virus. These are known as gA, gB, gC, gD and gE etc.

Glycoprotein D is located on the viral membrane, and is also found in the cytoplasm of infected cells (Eisenberg R.J. et al; J of Virol 1980 35 428-30 435). It comprises 393 amino acids including a signal peptide and has a molecular weight of approximately 60 kD. Of all the HSV envelope glycoproteins this is probably the best characterised (Cohen et al J. Virology 60 157-166). In vivo it is known to play a central role in viral attachment to cell membranes. Moreover, glycoprotein D has been shown to be able to elicit neutralising antibodies in vivo (Eing et al J. Med. Virology 127: 59-65). However, latent HSV-2 virus can still be reactivated and induce recurrence of the disease despite the presence of high neutralising antibodies titre in the patients sera.

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The ability to induce neutralising antibody alone is insufficient to adequately control the disease. In order to prevent recurrence of the disease, any vaccine will need to stimulate not only neutralising antibody, but also cellular immunity mediated through T-cells. The present invention achieves these aims.

The present invention provides a vaccine comprising HSV glycoprotein D or an immunological fragment thereof in conjunction with 3-o-deacylated monophosphoryl lipid A (3D-MPL) a deacylated derivative of monophosphoryl lipid A, and a suitable carrier. Typically the glycoprotein D will be from HSV-2. The carrier may be an oil in water emulsion, or alum, 3D-MPL will be present in the range of 10µg - 100µg preferably 25-50µg per dose wherein the antigen will typically be present in a range 2-50µg per dose.

3D-MPL may be obtained according to the methods described in British patent No. 2220211 (RIBI).

An embodiment of the invention is a truncated HSV-2 glycoprotein D of 308 amino acids which comprises amino acids 1 through 306 naturally occurring glycoprotein with the addition Asparagine and Glutamine at the C terminal end of the truncated protein devoid of its membrane anchor region. This form of the protein includes the signal peptide which is cleaved to yield a mature 283 amino acid protein. The production of such a protein in Chinese Hamster ovary cells has been described in Genentech's European patent EP-B-139 417.

The mature truncate preferably is used in the vaccine formulations of the present invention as is designated rgD<sub>2</sub>t.

The HSV antigen may be chemically or otherwise conjugated to a particulate carrier. A particularly preferred approach is to chemically conjugate to particulate Hepatitis B surface antigen through free sulfhydryl groups located on the surface of the Hepatitis B surface antigen. See copending U.K. Patent application No. 9027623.9.

The formulations of the present invention are very effective in inducing

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protective immunity, even with very low doses of antigen (e.g. as low as 5  $\,\mu g \; rg D_2 t).$ 

They provide excellent protection against primary infection and stimulate, advantageously both specific humoral (neutralising antibodies) and also effector cell mediated (DTH) immune responses.

Non-toxic oil in water emulsions preferably contain a non-toxic oil, e.g. squalane or squalene, a emulsifier, e.g. Tween 80, in an aqueous carrier. The aqueous carrier may be for example, phosphate buffered saline.

The present invention in a further aspect provides a vaccine formulation as herein described for use in medical therapy, particularly for use in the treatment or prophylaxis of Herpes Simplex viral infections.

The vaccine of the present invention will contain an immunoprotective quantity of HSV gD or immunological fragment thereof and this maybe prepared by conventional techniques.

Vaccine preparation is generally described in New Trends and Developments in Vaccines, edited by Voller et al., University Park Press, Baltimore, Maryland, U.S.A. 1978. Encapsulation within liposomes is described, for example, by Fullerton, U.S. Patent 4,235,877. Conjugation of proteins to macromolecules is disclosed, for example, by Likhite, U.S. Patent 4,372,945 and by Armor et al., U.S. Patent 4,474.757.

The amount of protein in each vaccine dose is selected as an amount which induces an immunoprotective response without significant, adverse side effects in typical vaccinees. Such amount will vary depending upon which specific immunogen is employed. Generally, it is expected that each dose will comprise 1-1000  $\mu g$  of protein, preferably 2-100  $\mu g$ , most preferably 4-40  $\mu g$ . An optimal amount for a particular vaccine can be

ascertained by standard studies involving observation of antibody titres and other responses in subjects. Following an initial vaccination, subjects may receive a boost in about 4 weeks.

In addition to vaccination of persons susceptible to HSV infections, the pharmaceutical compositions of the present invention may be used to

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treat, immunotherapeutically, patients suffering from HSV infections.

In a further aspect of the present invention there is provided a method of manufacture as herein described, wherein the method comprises mixing HSV-2 glycoprotein D or an immunological fragment with a carrier, e.g. an oil in water emulsion or alum, and 3D-MPL.

Comparison of adjuvant efficacy of a recombinant Herpes Simplex Virus Glycoprotein D Subunit Vaccine

In this study, the ability of several adjuvants to improve the protective immunity of a recombinant glycoprotein D from Herpes Simplex Virus (HSV) type 2 (rgD2t) was evaluated in a guinea pig model. Adjuvants tested were aluminium hydroxide, aluminium hydroxide in combination with 3 Deacyl-Monophosphoryl Lipid A, and 3 Deacyl-Monophosphoryl Lipid A delivered in an oil in water emulsion.

#### 1. Description of the antigen

20 HSV rgD<sub>2</sub>t is a genetically engineered recombinant truncated glycoprotein produced in transfected Chinese hamster ovary (CHO) cells (European Patent No. 0 139 417).

### 2. Antigen-Adjuvant preparations and immunization schedules

Two separate experiments were performed to evaluate the protective immunity of several  $rgD_2t$  formulations in the guinea pig model. In the first experiment, groups of guinea pigs were immunized three times with a low antigen dose (5  $\mu g$  of  $rgD_2t$ ) in 4 adjuvant formulations prepared as described below. Two weeks after the last immunization, they were challenged intravaginally with HSV type 2 and were monitored daily for the development of primary and recurrent HSV2 disease. In the second experiment, these formulations were further evaluated on larger animal groups. Factors influencing efficacy of these formulations were also tested such as antigen dose and adjuvant composition.

#### 2.1. Antigen-Adjuvant preparations

In the first experiment, guinea pigs were immunized with the following adjuvant preparations. Each dose (5  $\mu$ g) was administered in a 0.25 ml volume.

#### 2.1.1. rgD2t/Alum (Aluminium Hydroxide)

Alum was obtained from Superfos (Alhydrogel, (Boehimte)

Superfos, Denmark). Five µg of purified rgD2t was adsorbed overnight at

4°C on aluminium hydroxide (alum) corresponding to 0.25 mg equivalents

Al<sup>3+</sup> in 0.25 ml of 150 mM NaCl 10 mM phosphate buffer pH 6.8.

#### 2.1.2. rgD2t / Aluminium Hydroxide plus 3D-MPL

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3 D-MPL was obtained from Ribi Immunochem Research, Inc. After an overnight adsorption of 5  $\mu$ g gD<sub>2</sub>t on alum as described in 2.1.1., the adjuvant preparation was centrifuged and its supernatant removed. An equal volume of adsorption buffer containing 100  $\mu$ g 3D-MPL was then added to the alum-bound rgD<sub>2</sub>t.

For both  $rgD_2t$ /Alum preparations, more than 98% of the  $rgD_2t$  was found to be incorporated in aluminium hydroxide adjuvant.

#### 2.1.3. rgD2t/3D-MPL in an oil in water emulsion (R)

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The oil in water emulsion was prepared using 12% w/v lecithin added to Squalene oil and 0.08% Tween 80. 3D-MPL was added at a concentration 100 fold higher than the final desired concentration. 1% of this preparation was then mixed in a 0.25 ml volume to 5  $\mu$ g rgD<sub>2</sub>t in aqueous phase, yielding a 1% oil in water emulsion containing 100  $\mu$ g 3D-MPL.

Similar adjuvant formulations prepared as above but containing different amounts of rgD<sub>2</sub>t and/or immunostimulator were used in the second experiment. They were administered in a total volume of 0.5 ml. These formulations are described below.

rgD2t/Alum: Five or 20  $\mu$ g rgD2t; 0.5 mg equivalents Al<sup>3+</sup> per 0.5

ml dose.

rgD2t/Alum plus 3D-MPL: Five or 20  $\mu$ g rgD2t; 0.5 mg equivalents Al<sup>3+</sup>; 50  $\mu$ g 3D-MPL per 0.5 ml dose.

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rgD2t/3D-MPL in o/w emulsion (R): Five or 20  $\mu$ g rgD2t were formulated in an 1% o/w emulsion as described above (2.1.3). A 0.5 ml dose contained 5  $\mu$ g or 20  $\mu$ g rgD2t, 50  $\mu$ g 3D-MPL in a 1% o/w emulsion.

10 rgD2t/3D-MPL in o/w emulsion (S): The vehicle was prepared as follows: To phosphate buffered saline (PBS) containing 0.4% (v/v) Tween 80 are added 5% (v/v) Pluronic L121 and 10% squalane and the resulting mixture microfluidized ten times through a microfluidizer (Model M/110 Microfluidics Corp.,) such that the resulting emulsion comprises only submicron particles. 50μg of 3D-MPL was then added to the emulsion. One volume of this emulsion, containing 3D-MPL was mixed with an equal volume of twice concentrated antigen and vortexed briefly to ensure complete mixing of the components. The final preparation consisted of 0.2% Tween 80, 2.5% Pluronic L121, 5% Squalane, 50μg 3D-Mpl and 5 μg or 20 μg rgD2t in a 0.5 ml dose.

#### 2.2. Immunization schedule

Groups of female Hartley guinea pigs (200-250 gr) were
immunized three times at day 0, 28 and 95 with 5 µg rgD<sub>2</sub>t formulated in
4 different adjuvant formulations.

Immunizations were done subcutaneously with injection volume of 0.25 ml. Control animals were injected according to the same protocol with adjuvant alone or were untreated.

The different groups were immunized as follows:

Group 1 (n = 4): 5  $\mu$ g rgD<sub>2</sub>t/3D-MPL (100  $\mu$ g) in o/w emulsion (R)

Group 2 (n = 4):  $5 \mu g rgD_2t/Alum plus 3D-MPL (100 \mu g)$ 

Group 3 (n = 4):  $5 \mu g rg D_2 t/Alum$ 

35 Group 4 (n = 5): Alum alone

Group 5 (n = 5): 3D-MPL (100  $\mu$ g) alone

Group 6 (n = 8): untreated

Animals were bled every 2 weeks for antibody determinations by

ELISA and neutralization assays as described below.

The different formulations were also tested for their ability to induce T cell mediated immunity, as measured by the induction of delayed-type hypersensitivity responses. The read-outs applied for evaluation of the humoral and cellular immune responses induced by the different rgD2t formulations are described below.

In order to compare the protective immunity induced by the rgD2t formulations, all the guinea pigs were challenged intravaginally with 10<sup>5</sup> plaque-forming units (pfu) of HSV2, strain MS, 2 weeks after the last immunization. They were monitored daily for clinical signs of acute infection as well as for evidence of recurrent herpetic diseases. Vaginal swab samples were collected on day 5 after viral challenge and titered for infectious virus.

A detailed description of the guinea pig intravaginal model is given below.

In the second experiment, the immunogenicity of the following rgD2t formulations was evaluated in larger animal groups. Two antigen doses were compared (5 and 20µg) and different adjuvant composition were tested. A dose of 50µg 3 DMPL was used and its effects compared to the 100µg dose previously used.

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Groups of female Hartley guinea pigs were immunized three times at days 1, 28 and 84, as follows:

Group I	(n=8):	20 $\mu$ g rgD <sub>2</sub> t/3DMPL (50 $\mu$ g) o/w emulsion (R)
Group II	(n = 8):	$5\mu g rg D_2 t/3 DMPL (50\mu g)$ o/w emulsion (R)
Group III	(n = 10):	$20\mu g rg D_2 t/3 DMPL (50\mu g)$ o/w emulsion (S)
Group IV	(n = 10):	$5\mu g rg D_2 t/3 DMPL (50\mu g)$ o/w emulsion (S)
Group V	(n = 10):	$20\mu g rg D_2 t/Alum + 3DMPL (50\mu g)$
Group VI	(n = 10):	5 $\mu$ g rgD $_2$ t/Alum + 3DMPL (50 $\mu$ g)
Group VII	(n=4):	Alum + 3DMPL (50µg) alone
Group VIII	(n = 4):	3DMPL (50µg) o/w emulsion (R) alone
Group IX	(n = 8):	untreated

Immunizations were given in a 0.5 ml dose. Control groups were immunized according to the same protocol with adjuvant alone (Groups VII and VIII) or were intreated (Group IX).

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A last group (Group X) was immunized with a gD<sub>2</sub>t Alum + 3D-MPL formulation containing 100 $\mu$ g 3D-MPL in a 0.25 ml dose, according to the protocol described in the first prophylactic experiment:

10 Group X (n = 10):  $5\mu g rgD_2t/Alum plus 3DMPL (100mg)$ .

Animals were bled every two weeks for individual antibody determinations by ELISA and neutralization assays, as described below. Vaginal washings were collected after the second immunization and were assayed for the presence of systemic antibodies specific for gD2t (antigD2t antibodies of lgG class). Guinea pigs were challenged intravaginally with 105 pfu HSV2 (strain MS) 2 weeks after the last immunization. After challenge, they were monitored daily for clinical signs of acute infection (days 4 to 12 post challenge) as well as for evidence of recurrent herpetic disease (days 13 to 39 post challenge).

#### 3. Read-outs

Several read-outs were set up to evaluate the specific antibody and cell mediated responses induced by vaccination with rgD2t formulations. The protective value of these formulations was assessed in the guinea pig intravaginal model.

#### 3.1. ELISA

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An ELISA was designed to detect and quantify gD-specific antibodies in guinea pig sera and vaginal washings, using rgD<sub>2</sub>t as the coating antigen.

#### 3.1.1. Detection of IgG antibodies specific for rgD2t in sera

Antigen and antibody solutions were used at 50  $\mu$ l per well. Antigen was diluted to a final concentration of 1  $\mu$ g/ml in PBS and was

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adsorbed overnight at 4°C to the wells of 96 wells microtitre plate (Maxisorp Immuno-plate, Nunc, Denmark). The wells were then washed 5 times with PBS Tween 0.1% (wash buffer) and incubated for 1 hour at 37°C with PBS containing 1% bovine serum albumin, 4% newborn calf serum and 0.1% Tween (saturation buffer). Three-fold dilutions of sera (starting at 1/100 dilution) in the saturation buffer were added to the rgD2t-coated wells and incubated for 2 hrs at room temperature. The plates were washed as above and biotin-conjugated sheep anti-guinea pig IgG (IgG1 and IgG2 specific, Serotec, Sopar Biochem., Belgium) diluted 1/3000 in saturation buffer was added to each well and incubated for 1 h.30 min. at 37°C. After a washing step, streptavidin-biotinylated peroxidase complex (Amersham, UK) diluted 1/1000 in saturation buffer was added and incubated for 30 min. at 37°C. Plates were washed as above and incubated with a solution of o-phenylenediamine

(Sigma) 0.04% H<sub>2</sub>O<sub>2</sub> 0.03% in 0.1 M citrate buffer at pH 4.5. Color reaction was stopped after 15 min by the addition of H<sub>2</sub>SO<sub>4</sub>

2 M and the absorbance was readed at 492 nm.

ng/ml concentration) in each plate.

ELISA titer was defined as the reciprocal of serum dilution which produced an absorbance (optical density measured at 492 nm equal to 50% of the maximal absorbance value (midpoint titer).

ELISA titers were calculated by a 4 parameter linear regression analysis using a computer program.

## 3.1.2. Detection of IgG antibodies specific for rgD2t in vaginal washings

Vaginal washings were first calibrated for their total IgG content by ELISA as follows. Maxisorp Immuno-plates were coated overnight at  $4^{\circ}$ C with 1 µg/ml (50 µl per well) of purified goat anti-guinea pig IgG (Sigma, Belgium) diluted in PBS. The plates were washed and incubated with saturation buffer as above. Vaginal washings were diluted serially with two-fold dilutions (starting at a 1/100 dilution) in the saturation buffer and added to the plates. A standard curve of purified guinea pig IgG (Sigma, Belgium) was included (two fold dilution starting at a 100

After a 2 hrs incubation at room temperature, the plates were washed as above and biotin-conjugated sheep antibodies specific for guinea pig IgG1 and IgG2 (Serotec, Sopar Biochem, Belgium) diluted

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1/1000 in saturation buffer was added to each well and incubated for 1 h 30 min at 37°C. Next steps (addition of streptavidin-biotinylated peroxidase complex and color revelation) were as described above (3.1.1.).

The concentration of total IgG present in the vaginal washings was determined from the IgG standard curve, by a 4 parameters monlinear regression analysis using a computer program.

After calibration of their total IgG content, vaginal washings were tested for the presence of IgG antibodies specific for rgD2t using the 10 same ELISA as described for anti-gD antibody sera quantifications. Results were expressed as optical densities measured at 492 nm per 0.5 μg/ml total IgG.

#### 15 3.2. Neutralization assay

A 96 well format neutralization assay was set up as follows:

Serial two-fold dilutions of the samples to be tested were 20 prepared directly in the 96 W plates (25 ul/well of each serum dilutions, duplicates). Fifty microliters of a mixture containing 4000 pfu of virus HG52 and complement (1/100 final dilution in the well) were added to each well. The plates were incubated for 1 hour at 37°C. One hundred microliters of BHK 21 cell suspension at 4.105 cells/ml were then added to each well (4.10<sup>4</sup> cells/well). The plates were centrifuged for 5 minutes at 1000 rpm and incubated for five days at 37°C in the presence of 7% CO<sub>2</sub>.

After this period, the culture medium was gently removed and 100 µl of a solution of cristal violet (10% methanol, 90% H<sub>2</sub>O, 0.3% cristal violet) were added to each well and incubated for 20 min. at room temperature. The plates were then abundantly washed with tapwater. The presence of plaques can easily be monitored by microscopic examination.

35 The neutralizing titer was defined as the reciprocal of the highest serum dilution at which no viral plaque was observed (100% protection of cytopathogen effect). It is important to note that at this time point, a complete cytopathogen effect (100% lysis of the cell monolayer) was

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observed in the control wells.

#### 3.3. Delayed-Type Hypersensitivity (DTH)

The different rgD<sub>2</sub>t formulations were also tested for their ability to induce a T cell specific immune response as measured by the induction of delayed-type hypersensitivity responses.

The adjuvant formulations prepared for the first experiment were used in this study. These preparations contained 5 µg of rgD2t per 0.25 ml dose. The immunization schedule was as follows: primary immunization: 0.25 ml of vaccine formulation given intramuscularly; booster immunization: 0.25 ml of vaccine formulation given intramuscularly 21 days later; skin test: 5 µg rgD2t given intradermally (in saline) 8 days later. All guinea pigs were skin tested with saline as control.

In addition, control guinea pigs (non immunized animals) were skin tested with rgD<sub>2</sub>t. Erythema and induration at site of intradermal injection were monitored 24 and 48 hrs later.

#### 3.4. Guinea-pig intravaginal model

The guinea pig model for HSV genital infection has been described by LR Stanberry et al (J. of Infectious Diseases 1982, <u>146</u>:397-403; Intervirology 1985, <u>24</u>:226-231).

Briefly, 2 weeks after the last immunization, the guinea pigs were challenged with  $10^5$  pfu of HSV2 strain MS by intravaginal instillation. The clinical course of the primary infection was monitored by daily observation of the incidence and severity of external genital skin lesions during the 12-day post-challenge period.

Vaginal swabs were collected on day 5 after viral challenge and titered for infectious HSV2 by plaque assay, as described below. Animals were then examined daily for evidence of recurrent herpetic lesions from days 13 to 60. The herpetic lesions on the external genital skin were quantitated by using a lesion score scale ranging from 0 to 4 (0 = no lesion

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or redness; 0.5 = redness; 1 = vesicle;  $1.5 = \ge 4$  small vesicles; 2 = larger vesicles;  $2.5 = \text{several large vesicles resulting from the fusion of vesicles as in score 2; <math>3 = \text{size}$  and number of vesicles increase; 3.5 = lesions covering all the surface of the genital skin; 4 = ulcerated lesions with maceration).

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The degree of protection provided by the different rgD2t vaccines was evaluated according to the criteria defined below.

## Protection against primary disease (days 0 - 12)

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The animal was considered to be not protected if the following lesions were recorded:

- more than one red area at any time,
- one red area persisting in the same area for at least 3 successive days (0.5 lesion score),
  - one or several vesicles (≥ 1 lesion score).

### Protection against recurrent disease (days 13 - 60)

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The animal was scored positive for recurrent disease either if a 0.5 lesion score was recorded for 2 successive days at least or if a lesion score  $\geq 1$  was observed at any day. An episode of recurrent disease was preceded and followed by a day without any lesions or redness.

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The lesion severity for an animal is calculated as the sum of the scores measured during the primary infection (days 1-12). The lesion incidence represents the number of animals showing a lesion of  $\geq 1$  during the observation period (days 1-12 [primary disease] or days 13-60 [recurrent diseases]).

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## 3.5. Virus titration in vaginal swabs

Vaginal swabs were collected at day 5 after viral challenge. The vaginal vault was swabbed with a calcium alginate tipped swab premoistered in Basal Eagle's medium supplemented with 2% fetal calf serum, 2 mM L glutamine, 100 U/ml penicillin, 100  $\mu$ g/ml streptomycin, 100  $\mu$ g/ml gentamycin and 1  $\mu$ g/ml amphotericin B (swab medium).

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Each swab was broken and put into a sterile  $12 \times 75$  mm 5 ml polyallomer tube containing 1 ml of swab medium. The tubes were then vortexed in order to take the virus out and frozen until use. For the titration itself, 6 wells culture plates containing  $5.10^5$  cells /well were incubated overnight at  $37^{\circ}$ C. The tubes were thawed and serial dilutions of the samples in swab medium were prepared. After removal of the culture medium in the 6 wells,  $200 \, \mu$ l of each samples dilution were transferred in duplicate on the cell monolayers and kept for one hour at  $37^{\circ}$ C. Four ml of a culture medium containing 1.5% carboxymethylcellulose were added to each well. The plates were then incubated for 2 days at  $37^{\circ}$ C. After this incubation period, the medium was gently removed and 1 ml of a solution of cristal violet (10% methanol, 90% H<sub>2</sub>O, 0.3% cristal violet) was added to each well for 15 min. The plates were then thoroughly rinsed and the plaques were counted. HSV2 titer was expressed in pfu/ml.

#### 4. Results

In a first set of experiments, groups of guinea pigs were immunized with a low antigen dose (5  $\mu$ g rgD<sub>2</sub>t) formulated in 4 different formulations. This suboptimal antigen dose was chosen in order to select the more potent rgD<sub>2</sub>t adjuvant combination that could provide protection against primary and recurrent HSV disease when administered to guinea pigs prior to intravaginal HSV2 inoculation (prophylactic trials).

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#### 4.1. Induction of humoral immunity

As shown in <u>Table 1</u>, groups vaccinated with rgD<sub>2</sub>t formulations containing 3D-MPL as immunostimulant showed higher ELISA and neutralizing titers in their sera than the group immunized with the rgD<sub>2</sub>t/Alum vaccine. Good mean neutralizing titers were induced after 3 immunizations with rgD<sub>2</sub>t 3D-MPL o/w (R) or rgD<sub>2</sub>t Alum 3D-MPL.

#### 4.2. Induction of effector T cell response (DTH)

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Skin test results (<u>Table 2</u>) showed that rgD<sub>2</sub>t formulated in 3D-MPL o/w emulsion induced the strongest DTH response. A specific DTH response was also induced by rgD<sub>2</sub>t Alum 3D-MPL. Similar experiments

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conducted in mice also revealed that rgD<sub>2</sub>t combined with Alum plus 3D-MPL was very potent in inducing an in vivo effector T cell response, in contrast to rgD<sub>2</sub>t Alum formulation.

#### 5 4.3. Effect of vaccination on HSV primary disease

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Two weeks after the third immunization, guinea pigs were challenged intravaginally with HSV2. The effect of vaccination on the clinical and virological course of primary HSV2 infection is illustrated in Figure 1 and summarized in Table 3. As compared to the control groups (Groups 4 to 6) that became infected and experienced acute primary disease, 100% of the animals vaccinated with the rgD2t 3D-MPL o/w formulation showed no evidence of herpetic disease, as monitored by skin lesion incidence and severity. Moreover, these animals did not show any viral replication in the vaginal tract as determined by vaginal virus titration at day 5 post challenge. Very similar results were obtained in the group vaccinated with rgD2t/Alum 3D-MPL. This group never developed herpetic vesicles during the observation period (lesion score < 1). Moreover, very low viral replication could be detected in the vaginal swabs collected. In contrast animals rgD2t adsorbed on alum were poorly protected (75% skin lesion incident).

#### 4.4. Effect of vaccination on HSV recurrent disease

Results are illustrated in Figure 1 and summarized in Table 4.

Vaccination with rgD2t formulations containing 3D-MPL (Groups 1 and 2) significantly altered the development of recurrent herpetic diseases. Two groups had significantly fewer recurrent episodes and recurrent day numbers than control or rgD2t Alum treated groups.

In order to further evaluate the factors influencing the efficacy of prophylactic rgD<sub>2</sub>t vaccines containing 3DMPL, a second set of experiments was initiated on larger guinea pig numbers.

Two antigen doses were compared (5 and 20µg) and different adjuvant compositions were tested. Three immunizations were administered at days 0, 28 and 84. Animals were bled every two weeks for individual

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antibody determination by ELISA and neutralization assays. Vaginal washings were collected after the second immunization and were tested for the presence of systomic antibodies specific for rgD<sub>2</sub>t.

#### Induction of humoral immunity

Results (Table 5) indicated that all the rgD2t formulations containing 3D-MPL were able to stimulate high ELISA and neutralizing titers in the guinea pig sera.

The mean ELISA and neutralizing titers induced after three immunizations were very similar in the sera of groups vaccinated with a rgD2t formulation containing either 5µg or 20µg gD2t. There was no significant difference in the humoral response measured in the groups immunized with a rgD2t Alum vaccine containing either 50µg 3D-MPL (Group VI) or 100mg 3D-MPL (Group X).

It is interesting to note that systemic anti-rgD2t antibodies (lgG class) could be detected in the vaginal washings of all vaccinated groups. This mucosally located anti-rgD2t antibody response may play an important protective role by decreasing the load of infectious virus in the genital tract during primary infection.

#### Effect of vaccination on HSV primary disease

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Two weeks after the third immunization, guinea pigs were challenged intravaginally with HSV2. The effect of vaccination on the clinical and virological course of primary HSV2 infection is summarized in Table 6. As compared to the controls, animals vaccinated with a 5µg rgD2t Alum 3D-MPL formulation containing either 50µg or 100µg 3D-MPL (Groups VI and X) showed significantly (p<0.05) reduced skin lesion severity as well as reduction of skin lesions incidence.

Very similar results were observed in the group vaccinated with 5µg rgD2t in a 3D-MPL o/w emulsion (Group III). In the three vaccinated groups, very low viral replication could be detected in the vaginal swabs collected 5 days after the challenge.

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#### Effect of vaccination on HSV recurrent disease

Results are given in Table 6. As compared to the control groups, the incidence of skin lesions and the recurrence day number were significantly (p>0.05) reduced in the three vaccinated groups. These groups had also fewer recurrent episodes than control groups.

#### 5. Conclusions

- 10 Results obtained in guinea pigs clearly show that vaccination with a rgD2t formulation containing 3D-MPL delivered in an oil in water emulsion or combined with aluminium hydroxyde is very effective in providing protection against primary and recurrent HSV2 disease when administered to guinea pigs prior to HSV2 inoculation. Such rgD2t 3D-MPL formulations are able to improve specific humoral (neutralizing antibodies) and effector cell mediated (DTH) immune responses. These results are obtained using a low dose of rgD2t (5µg).
  - 6. Immunogenicity of gD2t formulations in primates

6.1 Comparative immunogenicity of rgD2t/Alum and rgD2t/Alum 3D-MPL form

The immunogenicity of rgD2t/Alum and rgD2t/Alum 3D-MPL vaccines were evaluated in cercopithecus aethiops (African Green Monkeys, AGM). Three immunizations were given at 0, 1 and 3 months. Specific humoral (ELISA and neutralizing titers) and effector cell mediated (DTH) immune responses were measured.

### 6.1.1. Experimental procedure

Each formulation contained 20mg rgD2t and 0.5mg equivalents AL3+/dose. A dose of  $50\mu g$  3D-MPL was used. Groups of cercopithecus aethiops (AGM) were immunized 3 times at days 0, 28 and 84. Immunizations were given intramuscularly in a 0.5ml dose (20 rgD2t). Animals were bled every  $\pm 2$  weeks for antibody determination by ELISA and neutralization assays. The two formulations were also tested for their ability to induce T cell mediated immunity, as measured by the induction of delayed-type hypersensitivity (DTH) responses. Monkeys were given

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intradermally on the belly different rgD2t doses (20, 5 and 1µg) in saline 13 days after the second immunization. They were also skin tested with saline alone as control. Erythema and induration at site of intradermal injection were monitored 24 hrs and 48 hrs later.

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#### 6.1.2. Results

#### a) Induction of humoral immunity

Before vaccination, none of the monkey sera showed any anti-HSV2 antibody activity (data not shown). As shown in table 7, both vaccines induced good ELISA and neutralizing titers after the second immunization. This antibody response was not boosted with a third immunization in the rgD2t/Alum vaccinated monkeys. In contrast, monkeys receiving a third immunization with rgD2t/Alum 3D-MPL produced increased ELISA and neutralizing antibody responses (mean ELISA titer: 10056; mean neutralizing titer: 950).

b) Induction of effector T cell response (DTH)
Skin test results (table 8) showed that rgD2t combined with
Alum plus 3D-MPL was very potent in inducing an in vivo effector T cell
response, in contrast to the rgD2t Alum formulation. A strong DTH
response was observed in all rgD2t Alum 3D-MPL vaccinated animals
skin tested with 20mg rgD2t. Specific DTH responses were also measured
with the lower gD2t concentrations (5 and 1µg) in the majority of the
monkeys (3/4 for the 5µg dose and 2/4 for the 1µg dose). These rgD2t
doses induced weaker skin test responses than the 20mg rgD2t
concentration.

## 6.2. <u>Immunogenicity of rgD2t/Alum 3D-MPL formulations in rhesus</u> monkeys

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The immunogenicity of rgD<sub>2</sub>t/Alum 3D-MPL vaccines containing different rgD<sub>2</sub>t doses (100µg, 10µg, or 5µg) was compared in rhesus monkeys.

#### 6.2.1. Experimental procedure

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Each formulation contained  $0.5\mu g$  equivalents Al<sup>3+</sup> and  $50\mu g$  3D-MPL per dose. Three groups of rhesus monkeys (4 monkeys/group) were immunized three times at days 0, 28 and 77, as follows:

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Group 1 : 100μg rgD2t Alum plus 3D-MPL (50μg)

Group 2 : 20μg rgD<sub>2</sub>t Alum plus 3D-MPL (50μg)

Group 3 : 5μg rgD<sub>2</sub>t Alum plus 3D-MPL (50μg)

Immunizations were given intramuscularly in a 1 ml dose. Animals were bled every  $\pm$  2 weeks for antibody determination by ELISA

#### 10 6.2.2. Induction of humoral immunity

and neutralization assays.

Before vaccination, none of the monkey sera showed any anti-HSV2 antibody activity. Good ELISA and neutralizing titers were observed in the three vaccinated groups receiving either 100, 20 and 5mg gD<sub>2</sub>t in Alum + 3D-MPL. (Data not shown).

#### 6.3. Conclusions

Results obtained in cercopithecus aethiops clearly indicate that a rgD2t vaccine containing a combination of Alum with 3D-MPL significantly improve humoral (neutralizing antibodies) and effector cell mediated (DTH) specific immune responses. As compared to this vaccine, a rgD2t Alum formulation is less potent in inducing neutralizing antibodies and is unable to induce an *in vivo* DTH response.

Results obtained in rhesus monkeys also show that a  $rgD_2t$  Alum + 3D-MPL formulation is very effective in inducing a specific humoral response, even with low doses of antigen (5 $\mu$ g or 20 $\mu$ g  $rgD_2t$ ).

#### 30 7. General Conclusions

Results obtained in guinea pigs clearly indicate that adjuvant formulations containing either 3D-MPL delivered in an oil in water emulsion or combined with aluminium hydroxide are very effective in inducing a protective immune response with a recombinant HSV glycoprotein vaccine in the intravaginal guinea pig challenge animal model, even with very low doses of antigen (5 µg rgD2t). Protection data also show that these rgD2t 3D-MPL formulations are more potent in

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providing protection. Such 3D-MPL formulations are able to improve specific humoral (neutralizing antibodies) and effector cell mediated (DTH) immune responses.

5 Furthermore, the rgD2t Alum 3D-MPL formulation was shown to also improve immunogenicity at the antibody level and to induce an effector T cell response in primates, suggesting that this adjuvant effect is not restricted to small animal species.

TABLE 1: Anti-HSV antibody response in sera of guinea pigs immunized with rgD2t formulations before and after viral challenge.

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Antigen				r re-cuaringe 🚐	Fost-challenge (*)	/_\ aSinari
1	<del>-</del>	Adjuvant	ELISA titer	Neutralizing titer	ELISA titer	Neutralizing titer
1 rgD2t		3D-MPL o/w (R)	81291 ± 20822	1600	68720 ± 24648	2200± 765
2 rgD2t		Alum 3D-MPL	39897 ± 30165	2000 ± 800	27224 ± 13093	1800 ± 765
3 rgD2t		Alum	20346 ± 23704	600 ± 400	28622 ± 24024	1333 ± 461
- 4		Alum	< 100	< 50	737 ± 878	142± 85
, ,		3D-MPL	< 100	< 50	259 ± 244	1275 ± 1304
6 untreated	rted		< 100	< 50	225± 194	119 ± 141

 $rgD_2t$  dose =  $5 \mu g$ . Animals were immunized three times at days 0, 28 and 95. They were challenged 2 weeks later with  $10^5$  pfu HSV2.  $\Xi$ 

Sera collected the day before challenge (= 14 days after the third immunization) 8

Sera collected 2 weeks after challenge. ල

Values are given as arithmetic mean titers ± SD.

TABLE 2: Skin Test Results (DTH) in guinea pigs vaccinated with  $rgD_2t$  formulations.

								-				
ading	I (mm)	10 (N)	3	12 (N)	<b>Ť</b>	3	0	0	0	0	. 0	0
48 hr reading	E (mm)	14	10	15	10	12	12	0	0	0	0	0
ling	E (mm)		10	17 (N)	8	12	. 6	0	0	0	0	0
24 hr reading	E (mm)		16	20	10	15	11	0	0	0	0	. 0
Guinea Pig		1	2	8		2	3	1	2	8	1	2
Formulation		rgD2 <sup>t</sup> 3D-MPL o/w (R)	·	•	rgD2 <sup>t</sup> Alum 3D-MPL			- Alum 3D-MPL	-		untreated	

Guinea pigs were immunized at days 0 and 21 with 5 µg rgD2t formulation (given intramuscularly). They were given intradermally 5 µg rgD2t

in saline at day 29. Skin test was read at 24 h and 48 h. E = erythema at site of ID injection in millimeters.

I = induration at site of ID injection in millimeters.

N = necrosis at skin test site.

TABLE 3: Effect of immunization with rgD2t formulations on the clinical and virological course of primary HSV2 infection in guinea pigs.

Vaccine (1)	e (1)	Incidence of	Skin Lesion	Vaginal Virus
 Antigen	Adjuvant	Skin Lesions (2)	Severity (3)	Titers (4)
$rgD_2t$	3D-MPL o/w (R)	0/4	$0.1\pm0.3$	0
rgD2t	Alum 3D-MPL	0/4	1 ± 0.4	6.25 ±12.4
rgD2t	Alum	3/4	4.4 ± 2.7	3575 ± 6010
1	Alum	2/2	6.2 ± 2.6	5216 ± 6295
•	3D-MPL	4/5	$5.1 \pm 3.6$	3298 ± 4475
untreated	•	1/8	7.3 ± 4.7	2214 ± 4519

 $rgD_2t$  dose = 5  $\mu g$ . Animals were immunized three times at days 0, 28 and 95. They were challenged 2 weeks later with  $10^5$  pfu HSV2.

Number animals showing a lesion score  $\geq 1$  during the 12 days observation period E 88 E

Sum of the lesion scores (days 1 - 12), arithmetic mean ± SD

Peak HSV titer (pfu/ml) in vaginal swabs collected 5 days post challenge.

TABLE 4: Effect of immunization with  $rgD_2t$  formulations on the recurrent genital HSV2 disease in guinea pigs.

- <del> </del>							
Recurrence (4) days Numbers		0.7 ± 1.5	1.7 ± 3.5	80 60 41 60 60	7.6 ± 6.5	6 ± 4.4	9.9 ± 6
Episodes of recurrent disease (3)		1 ±2	1 ± 0.8	4.3 ± 1.5	3.8 ± 3.3	2.6 ± 1.1	3.5 ± 2.2
Incidence of Skin Lesions (2)		1/4	2/4	3/3	4/5	9/9	8/9
1)	Adjuvant	3D-MPL o/w (R)	Alum 3D-MPL	Alum	Alum	зр-мрг	
Vaccine (1)	Antigen	$^{ m rgD}_2^{ m t}$	${ m rgD}_2{ m t}$	$rgD_2t$	•	•	untreated
Group		1	2	က	4.	סי	9

 $rgD_2t$  dose = 5  $\mu g$ . Animals were immunized three times at days 0, 28 and 95. They were challenged 2 weeks later with  $10^5$  pfu HSV2.

Number animals showing a lesion score ≥ 1 during the observation period (days 13 - 60) 

One recurrent episode is preceded and followed by a day without lesion and characterised by at least two days with erythema (score = 0.5) or one day with vesicle(s) (lesion score≥1). Results expressed as arithmetic mean ±SD (observation period: days 13-60).

Total days animals experienced a recurrent herpetic episode, arithmetic mean ± SD (observation period: days 13-39). **₹** 

TABLE 5: COMPARISON OF THE EFFECT OF DIFFERENT ADJUVANT FORMULATIONS ON THE IMMUNOGENICITY OF 18D24 IN GUINEA PIGS

	-		Anti-HSV antib	Anti-HSV antibody response after two immunisations	after two	Anti HSV antibody immunizations - p	Anti HSV antibody response after three immunizations - prechallenge titer (3)
GROUP	UP.	VACCINE (1)		IN SERA	IN VAGINAL (5)		IN SERA (4)
	rgD2t dose	Adjuvant	Elisa titer	Neutral titer	WASHINGS	Elisa titer	Neutral titer
	20 µg	3DMPL (50 µg) o/w (R)	31462 ± 9087	87 850 ± 396	0.780 ± 0.376	19958 ± 10171	3400 ± 1994
=	5 µg	3DMPL (50 µg) o/w (R)	35015 ± 14395	195 412 ± 264	1.000 ± 0.177	51688 ± 40120	4342 ± 2879
Ξ	20 ng	3DMPL (50 µg) o/w (S)	16720 ± 12641		$0.700 \pm 0.232$	36647 ± 24126	4080 ± 2883
≥	5 µg	3DMPL (50 µg) o/w (S)	14992± 96	9885 840 ± 571	$0.570 \pm 0.200$	45082 ± 24221	4560 ± 2502
>	20 µg	Alum 3DMPL (50 µg)		176 740 ± 499	$0.620 \pm 0.175$	16015 ± 7846	3280 ± 2276
5	5 rg	Alum 3DMPL (50 µg)		4219 420 ± 301	$0.520 \pm 0.175$	20488 ± 9562	2640 ± 1510
<b>=</b>	. 1	Alum 3DMPL (50 µg)	v100	<b>~20</b>	<0.020	×100	<b>~</b> 50
₹	•	3DMPL (50 µg) o/w (R)	4100	<b>~</b> 20	<0.020	×100	<b>~</b> 20
×		untreated	×100	<b>~</b> 20	<0.020	×100	<50
×	5 Kg	Alum 3DMPL (100 µg)	#	3953 163 ± 151	0.671 ± 1.187	16588 ± 6945	2560 ± 1678

Animals were immunized three times at days 0, 28 and 84. They were challenged 2 weeks later with 10<sup>5</sup> pfu HSV2.
 Sera and vaginal washings collected 14 days after the second immunization.
 Sera collected the day before challenge (= 14 days after the third immunization).
 Values are given as arithmetic mean titers ± SD
 Corresponds to the optical density (at 492 nm) per 0.5 µg/ml total lgG measured in the standard anti-gD2t ELISA assay, arithmetic mean ± SD.

TABLE 6: EFFECT OF IMMUNIZATION WITH 12D21 FORMULATIONS ON THE CLINICAL AND VIROLOGICAL COURSE OF HSV2 INFECTION IN GUINEA PIGS

_			
	CONTROLS Groups VII - VIII - IX	12/14 86% 8.6 ± 5.1 1077 ± 1682	11/14 79% 7.3±6 1.9±1.2
VACCINE	Spg rgD2t         Spg rgD2t         CONTROLS           Alum 3DMPL(50µg)         Alum 3DMPL(100µg)         Groups VII - VIII - Group X	0/10 0% 0.9 $\pm$ 1 1.5 $\pm$ 4.7	3/10 30% 1.6±2.7 0.5±0.8
VAC	5µg rgD2t Alum 3DMPL(50µg) Group VI	1/10 10% 0.7±0.7	2/10 20% 1.6±2.1 0.6±0.7
	Spg rgD2t 3DMPL o/w (s) Group III	1/9 11% 1,2±1 0	2/9 22% 1 ± 2.3 0.2 ± 0.4
		PRIMARY HSV2 INFECTION Incidence of skin lesions (%) Skin lesion severity Vaginal virus titers (pfu/ml)	RECURRENT HSV2 INFECTION Incidence of skin lesions (%) Recurrence day number Recurrence episode number

Experimental schedule: 3 immunizations at days 0, 28 and 84.

Challenge 2 weeks after the last immunization with 10<sup>5</sup> pfu HSV2.

sum of the lesion scores (for the days 4 to 12), arithmetic mean ± SD number of animals with vesicle(s) (lesion score ≥ 1) (observation period days 4 to 12 post challenge) Incidence of skin lesions (%): Primary HSV2 infection: Skin lesion severity:

virus titers (pfu/ml) in vaginal swabs collected 5 days after the challenge

(observation period days 13 to 39 post challenge)

Recurrent HSV2 infection:

Vaginal virus titers:

Recurrence day number:

total days animals experienced a recurrent herpetic disease, arithmetic mean ± SD. Animals were scored positive for recurrent disease either if a 0.5 lesion score (erythema) was recorded for 2 successive days at least or if a lesion score ≥ 1 (vesicle(s)) number (%) of animals with vesicle(s) (lesion score ≥ 1) Incidence of skin lesions (%)

was observed at any day. arithmetic mean, ± SD Recurrence episode number:

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TABLE 7

DTH RESULTS IN AFRICAN GREEN MONKEYS VACCINATED WITH GD2T ALUM OR GD2T ALUM 3D MPL

			September 4 10	1 20 17 1			4 0 4	AB To see Alace	
VACCINE	MONKEY	PBS	gD2t	g02t	gD2t2	PBS	g02t	gD2t	gD2t
	RA BA		1 µg	5 ng	<b>Бп 0</b>		1 49	5 ид	20 ug
gD2t	J0358	1	B	ŀ	1	I	æ	i	ı
ALUM	30359	1	ğ	ı	ı	ı	ð	i	ı
	J0363	i	ND	ı	1	ı	ð	ı	i
	J0364	ı	ð	i	ı	ı	<del>Q</del>	ı	1
	J0366	1	Q.	1	t		Q.	1	ì
gD2t	J0348	1	ı	더	I 2-4	ı	i	н	н
ALUM	J0349	1	E 1-2	I 5-8	eg C	1	Ø	н	н
3D MPL	30375	1	THUIL THE	uuu	E 7-9	ı	េ	н	н
	30515	l	E 1-2	I 3-4	ww	ı	t	ľ	Eweak
			mm	Ħ	I 4-6				
			ı	1	WW.				
					Ю				
CONTROLS	J0320	l 	ı	1	1	1	1	ı	ı
	JS110	ı	ı	ŧ	l	1	1	ŧ	i

They were given intradermally in the belly different gD2t doses in saline 13 days later. Skin test was read at 24 h and 48 h. Monkeys were immunized at days 0 and 28 with 20 µg gD2t formulation (given intramuscularly).

E : erythema at site of ID injection
I : induration at site of ID injection

D = not done

TABLE 8

COMPARATIVE IMMUNOGENICITY OF GD2T ALUM AND GD2T ALUM 3D MPL FORMULATIONS IN AFRICAN GREEN MONKEYS: SEROLOGICAL RESPONSES

				Post II			Pos	Post III
		14(	14 days	28 days	296	56 days	14 days	ays
VACCINE.	MONKEY NB	ELISA TITER	NEUT	ELISA TITER	ELISA TITER	NEUT	ELISA TITER	NEUT
		1388	400	6572	1135	200	2050	400
	JO 359	4731	400	3232	1866	2	2110	200
gD2t ALUM		1376	200	2316	1300	8	1205	8
		5914	1600	5275	3740	400	8323	800
		21104	900	3696	2550	200	2302	200
	Arit mean	6902	009	4218	2118	262	9708	330
	as ≠	± 8190	± 565	± 1697	± 1062	± 134	± 2015	± 290
	ુ	7120	200	10175	4490	500	11082	400
gD2t ALUM/	JO 349	14437	1600	15409	7361	800	15848	1600
3D MPL	9	7990	800	5170	සුස	800	6797	1600
	JO 515	6515	200	7246	3660	\$	6497	200
	Arit mean	9015	200	9500	4616	475	10056	950
	± SD	± 3664	∓ 663	± 4442	± 1934	±377	± 4392	± 754

Each vaccine dose contains 20 µg gD2t ELISA titer = midpoint titer NEUT titer = reciprocal of the highest serum dilution giving 100% protection against the cytopathogen effect

#### Claim

- 1. A vaccine formulation comprising an HSV glycoprotein D or an immunological fragment thereof in conjunction with 3Deacylated monophosphoryl lipid A and a suitable carrier.
- 2. A vaccine formulation as claimed in claim 1 wherein the carrier is alum.
- 3. A vaccine formulation as claimed in claim 2 wherein the carrier is an oil in water emulsion.
- 4. A vaccine formulation as claimed in any of claims 1 to 3 wherein the glycoprotein D is an HSV-2 glycoprotein D or immunological framgment thereof.
- 5. A vaccine formulation as claimed in claim 1 to 4 wherein the glycoprotein D is a truncated protein.
- 6. A vaccine formulation as claimed in claim 5 wherein the truncated protein is HSVgD<sub>2</sub> and is devoid of the C terminal anchor region.
- 7. A vaccine formulation as claimed herein wherein the glycoprotein D is conjuncted to a particulate carrier.
- 8. A vaccine formulation as claimed herein wherein 3Deacylated monophosphoryl lipid A is present is the range of 10µg 100µg per dose.
- 9. A vaccine formulation as claimed herein for use in medicine.
- 10. Use of HSV glycoprotein gD or an immunological fragment thereof in conjunction with 3Deacylated monophosphoryl lipid A in the manufacture of a medicament for the prophylaxis or treatment of HSV infections.
- 11. A method of treating a human subject suffering from or susceptible to Herpes Simplex infections comprising administering an effective amount of a vaccine according to any one of claims 1 to 8.

12. A method of producing a vaccine according to any of claims 1 to 8 wherein the method comprises mixing HSV glycoprotein D or immunological fragment with a carrier and 3Deacylated monophosphoryl lipid A.

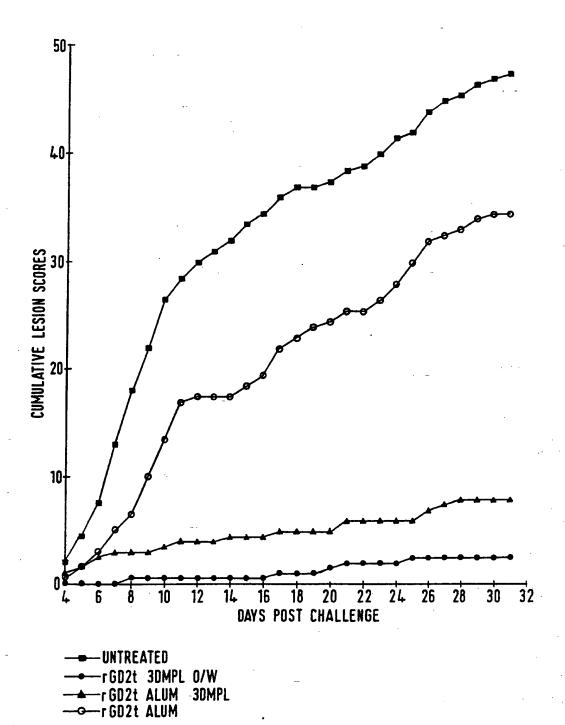


Fig.1

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INTERNATIONAL SEARCH REPORT International App PCT/EP 92/00592 I. CLASSIFICATION OF SUBJECT MATTER (If several classification symbols apply, indicate all) According to International Patent Classification (IPC) or to both National Classification and IPC A 61 K 39/245 Int.C1.5 II. FIELDS SEARCHED Minimum Documentation Searched Classification Symbols Classification System A 61 K C 07 K Int.C1.5 Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched III. DOCUMENTS CONSIDERED TO BE RELEVANT9 Relevant to Claim No.13 Citation of Document, 11 with indication, where appropriate, of the relevant passages 12 Category ' 1-12 WO,A,8802634 (CHIRON CORP.) 21 April Y 1988, see the whole document, especially pages 42-43 GB,A,2220211 (RIBI IMMUNOCHEM. RESEARCH INC.) 4 January 1990, see the whole 1-12 Υ document (cited in the application) 1-12 EP,A,0356340 (THE LIPSOME CO., INC.) A 28 February 1990, see the whole document 1-12 EP,A,0139417 (GENENTECH, INC.) 2 May A 1985, see pages 26-31d (cited in the application) "I" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the Special categories of cited documents: 10 "A" document defining the general state of the art which is not considered to be of particular relevance "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step earlier document but published on or after the international filling date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled "O" document referring to an oral disclosure, use, exhibition or document published prior to the international filing date but later than the priority date claimed "A" document member of the same patent family IV. CERTIFICATION Date of Mailing of this International Search Report Date of the Actual Completion of the International Search

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## ANNEX TO THE INTERNATIONAL SEARCH REPORT ON INTERNATIONAL PATENT APPLICATION NO.

EP 9200592

SA 57226

This annex lists the patent family members relating to the patent documents cited in the above-mentioned international search report. The members are as contained in the European Patent Office EDP file on 12/06/92

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